

FUR0012-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

KAZUYUKI ASHIMURA ET AL.

Serial No. 09/671,683

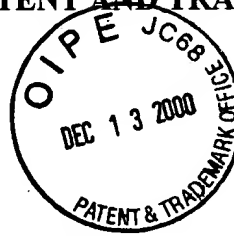
Art Unit: Unknown

Filed: September 28, 2000

Examiner: Unknown

For: SPEECH SYNTHESIS DEVICES

Assistant Commissioner of Patents
Washington, D.C. 20231



**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
APPLICATION – FILING DATE GRANTED**

Sir:

Responsive to the Notice to File Missing Parts of Application – Filing Date Granted mailed November 14, 2000, copy attached, applicants are submitting herewith an executed Declaration and Power of Attorney document. A check in the amount of \$65 is attached herewith which is owed by applicant as a small entity for the late filing of this document.

Applicants are also submitting herewith executed Small Entity Statements averring to applicant's status as a small entity. Entry of these documents into this application is respectfully requested.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to applicant's representative's Deposit Account No.


Serial No. 09/671,683
Art Unit: Unknown
Attorney's Docket No. FUR0012-US

50-1390. A duplicate copy of this paper is attached for that purpose.

Respectfully submitted,

KAZUYUKI ASHIMURA ET AL.

By:


Michael D. Bednarek
Reg. No. 32,329

Shaw Pittman
2300 N Street, N.W.
Washington, D.C. 20037-1128
Tel: 202/663-8906

Date: **December 13, 2000**

Document #: 1109588 v.1

#3

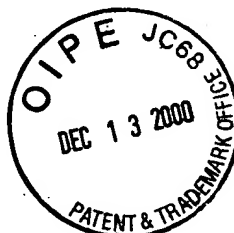


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/671,683	09/28/2000	Kazuyuki Ashimura	FUR0012-US

Shaw Pittman
2300 N Street NW
Washington, DC 20037-1128



FORMALITIES LETTER



OC000000005552330

Date Mailed: 11/14/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/14/2000 CVORACHA 00000048 09671683

01 FC:205

65.00 OP

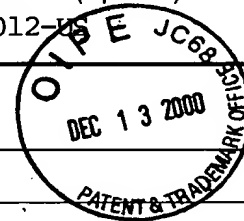
#3
117 USA-1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)

FUR0012-05



Applicant, Patentee, or Identifier: Arcadia, Inc.

Application or Patent No.: 09/671,683

Filed or Issued: September 28, 2000

Title: SPEECH SYNTHESIS DEVICES

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ No such person, concern, or organization exists.
☒ Each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Kazuyuki ASHIMURA

NAME OF INVENTOR

Seiich TENPAKU

NAME OF INVENTOR

NAME OF INVENTOR

Kazuyuki
Signature of inventor

Seiichi
Signature of inventor

Signature of inventor

Oct. 10, 2000
Date

Oct. 10, 2000
Date

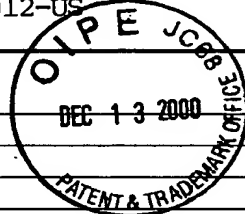
Date

11-USA-1
#3

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))—SMALL BUSINESS CONCERN**

Docket Number (Optional)
FUR0012-US



Applicant, Patentee, or Identifier: Arcadia, Inc.
Application or Patent No.: 09/671,683
Filed or Issued: September 28, 2000
Title: SPEECH SYNTHESIS DEVICES

I hereby state that I am

- ☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Arcadia, Inc.

ADDRESS OF SMALL BUSINESS CONCERN 3-40, Bohnoshima 1-chome, Minoh-city,
OSAKA, 562-0013 JAPAN

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☐ no such person, concern, or organization exists.
☒ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Seiichi TENPAKU

TITLE OF PERSON IF OTHER THAN OWNER _____

ADDRESS OF PERSON SIGNING c/o Arcadia, Inc. of 3-40, Bohnoshima 1-chome, Minoh-city,
OSAKA, 562-0013 JAPAN

SIGNATURE

Seiichi

DATE

Oct. 10, 2000